

HIGHER-ORDER ALGEBRAIC THEORIES AND RELATIVE MONADS

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(Masaryk University Algebra Seminar, 13.05.21)

Outline

- Algebraic theories
- Second-order algebraic theories
- Higher-order algebraic theories
- A universal characterisation of Lawns
- Relative monads, monads, and theories
- 0th-order algebraic theories

I. ALGEBRAIC THEORIES

First-order operators

1.

$$\frac{\Gamma \vdash a \quad \Gamma \vdash b}{\Gamma \vdash a \times b}$$

Multiplication

2.

$$\frac{\Gamma \vdash a}{\Gamma \vdash a^{-1}}$$

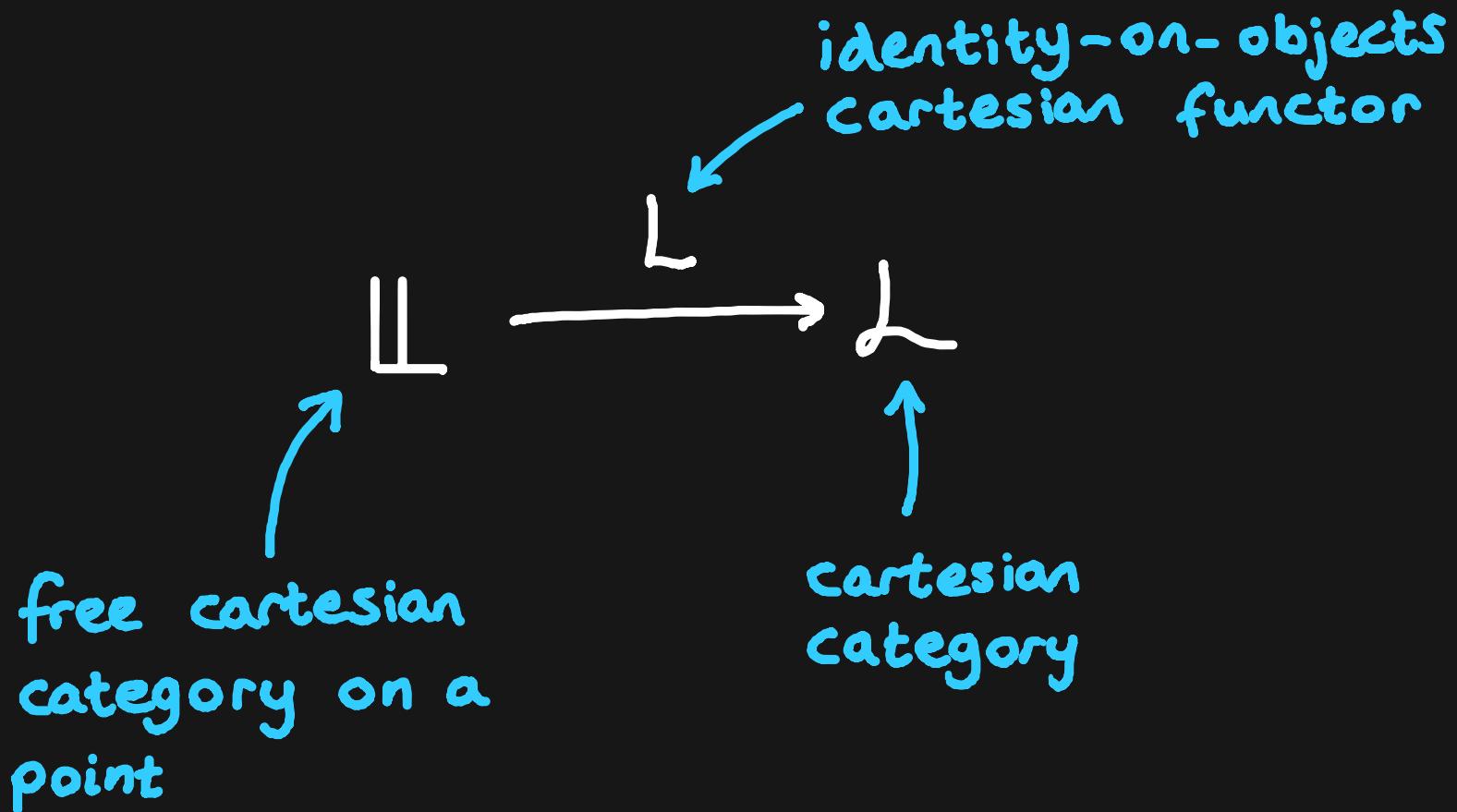
Inverses

3.

$$\frac{\Gamma \vdash m:M \quad \Gamma \vdash a:A}{\Gamma \vdash m * a : A}$$

Actions

Algebraic theories



(Here, 'cartesian' means finite products.)

Algebraic theories

$$\mathbb{L} \xrightarrow{L} \mathcal{L}$$

The objects of \mathcal{L} are given by X^n for X the generating object, and $n \in \mathbb{N}$.

A morphism $X^n \xrightarrow{t} X^m$ represents an m -tuple of terms in n variables:

$$\langle x_1, \dots, x_n + t_i \rangle_{1 \leq i \leq m}$$

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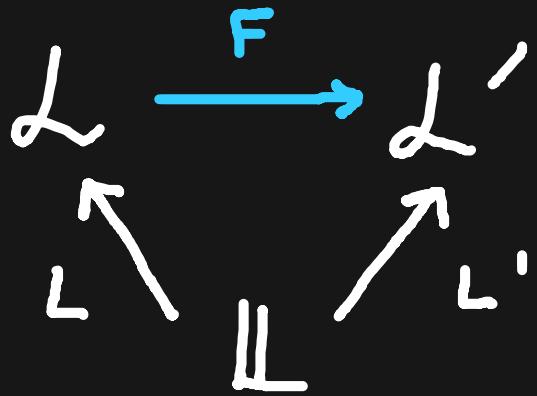
A morphism $X^n \xrightarrow{t} X^m$ represents an m -tuple of terms in n variables:

$$\rightarrow \langle x_1, \dots, x_n \vdash t_i \rangle_{1 \leq i \leq m}$$

n -ary operation $\langle t_i : X^n \rightarrow X \rangle_i$

Algebraic theories

A map of algebraic theories is a commutative triangle



Algebraic theories and their maps form a category Law.

Law

Law is a well-behaved category.

- It has all small limits and colimits.
- More than that, it is locally strongly finitely presentable:

Law

Law is a well-behaved category.

- It has all small limits and colimits.
- More than that, it is locally strongly finitely presentable:

$$\text{Law} \cong \text{Sind}(\mathcal{C}) \cong \text{Cart}(\mathcal{C}^\circ, \text{Set})$$

for some cocartesian \mathcal{C}

Sind = cocompletion under sifted colimits

Monads and theories

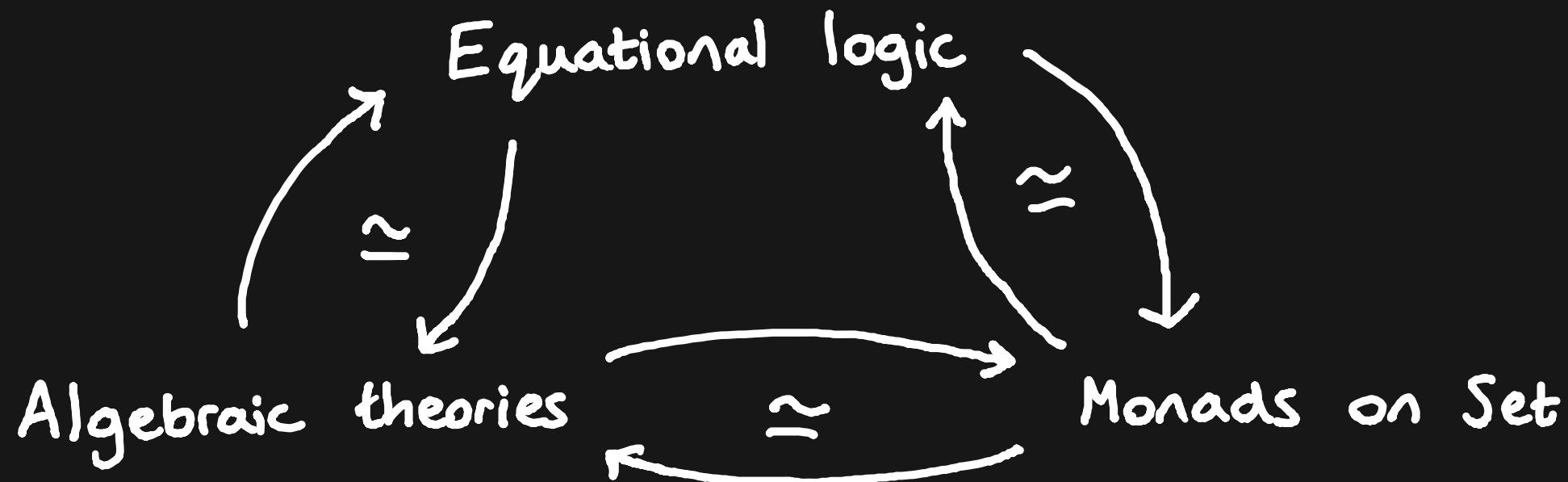
There is a classic equivalence between algebraic theories and (strongly) finitary monads on the category of sets.

$$\text{Law} \cong \text{Mnd}_f(\text{Set}) = \text{Mnd}_{sf}(\text{Set})$$

Finitary = preserves filtered colimits

Strongly finitary = preserves sifted colimits
(sifted-cocontinuous)

Universal algebra



II. SECOND-ORDER ALGEBRAIC THEORIES [Fiore & Mahmoud, 2010]

Second-order operators

1.
$$\frac{\Gamma, x \vdash f \quad \Gamma \vdash x_0}{\Gamma \vdash \frac{df}{dx}(x_0)}$$
 Differential operators
(cf. Plotkin 2020)

2.
$$\frac{\Gamma, x \vdash P}{\Gamma \vdash \exists x. P}$$
 Logical quantifiers

3.
$$\frac{\Gamma, x \vdash t}{\Gamma \vdash \lambda x. t}$$
 λ -abstraction

Second-order operators

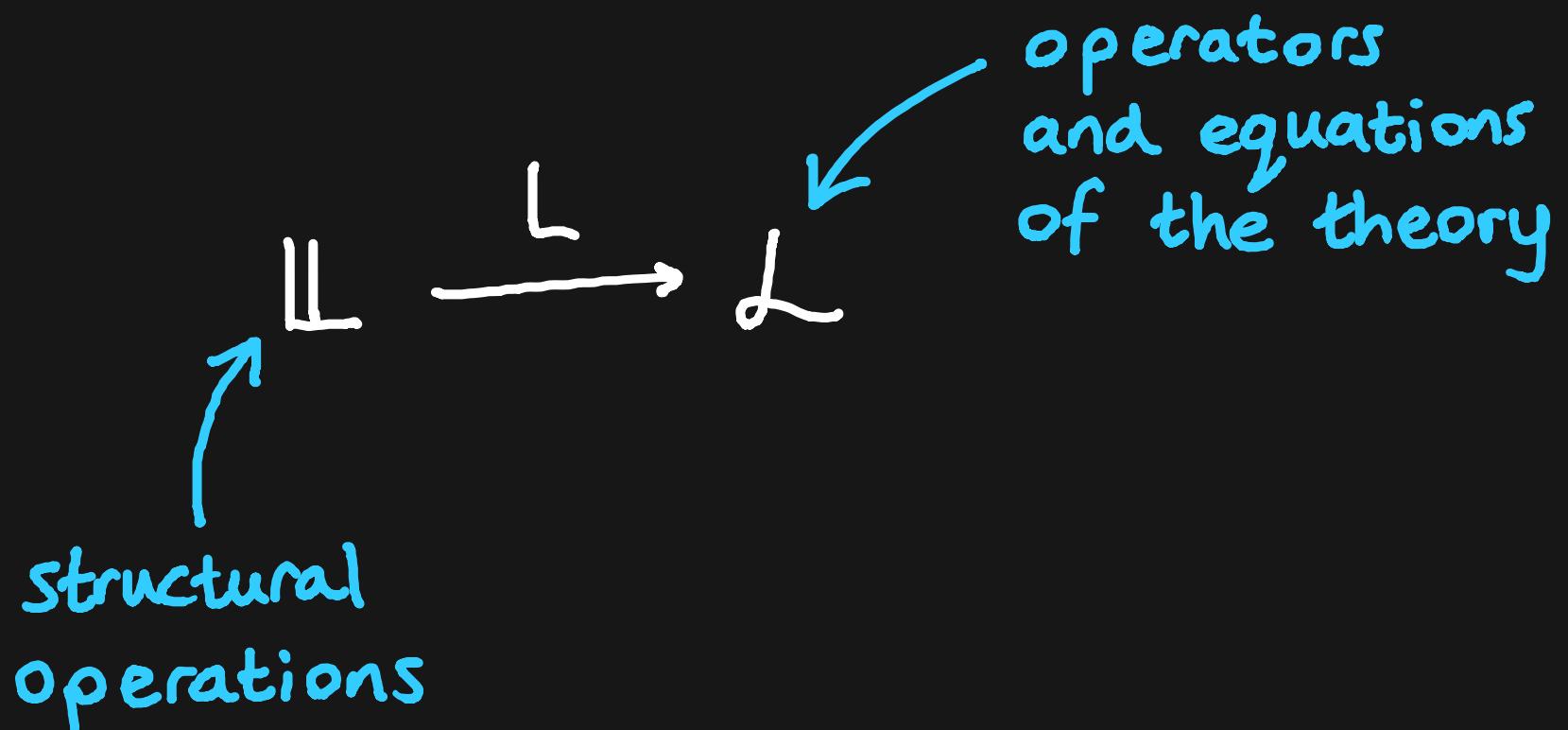
4.
$$\frac{\Gamma \vdash t : A + B \quad \Gamma, a:A \vdash u:C \quad \Gamma, b:B \vdash v:C}{\Gamma \vdash \text{case}(t, a.u, b.v) : C}$$

Coproducts,
case-splitting

5.
$$\frac{\Gamma, x:X \vdash f : X}{\Gamma \vdash \text{fix}(f) : X}$$

Fixed points

6. Parameterised algebraic theories [Staton, 2013]



Second-order theory of equality

\mathbb{L}_2 is the free cartesian category with an exponentiable object (i.e. an object such that $(-)^X : \mathbb{L}_2 \rightarrow \mathbb{L}_2$ exists).

Objects of \mathbb{L}_2 are given by products

$$X^{x^{n_1}} \times \cdots \times X^{x^{n_K}}$$

with morphisms given by projection and evaluation.

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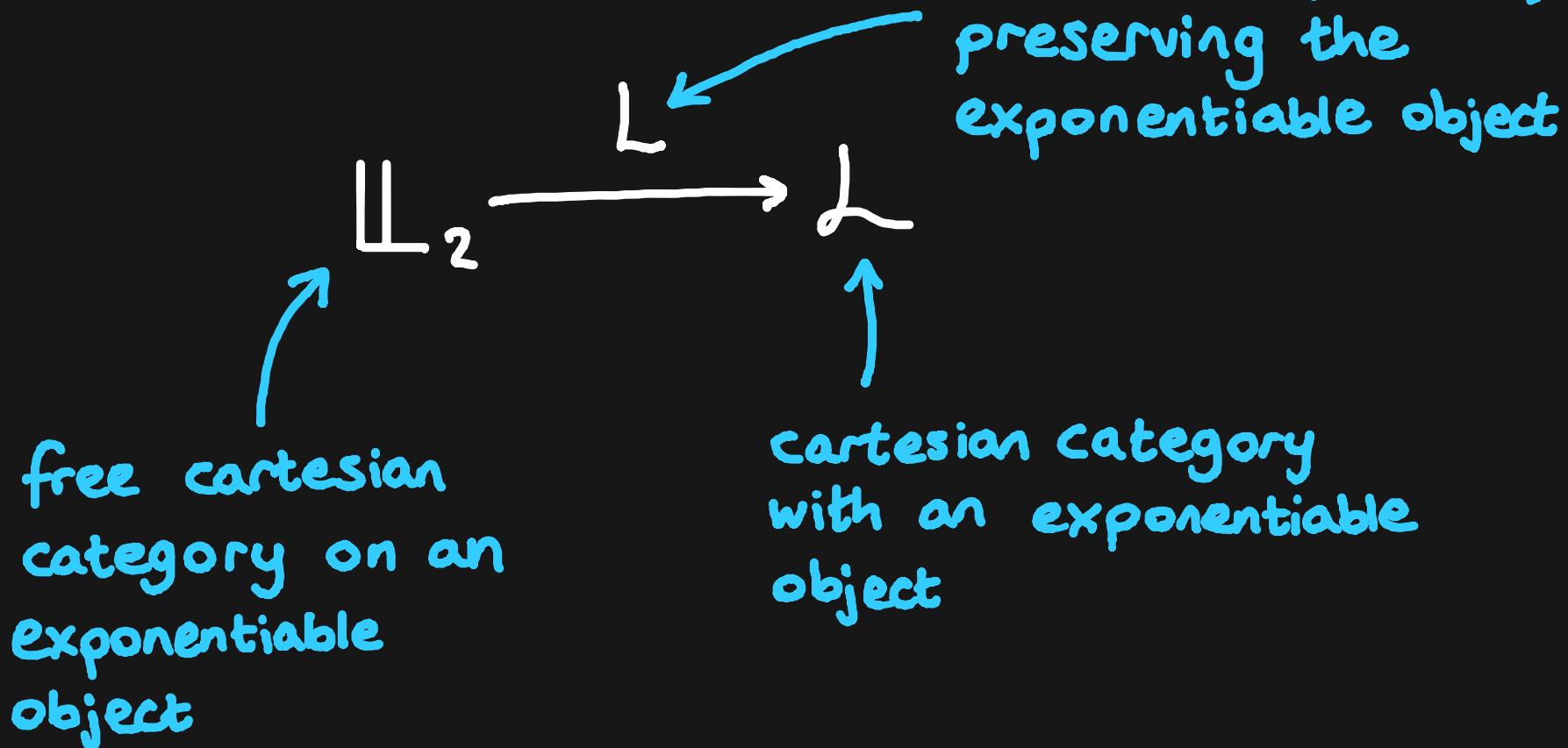
Objects of \mathbb{L}_2 are given by products

$$X^{x^{n_1}} \times \cdots \times X^{x^{n_K}}$$

exponents are
the objects of
 \mathbb{L}

with morphisms given by projection and evaluation.

Second-order algebraic theories



Second-order algebraic theories

$$\mathbb{L}_2 \xrightarrow{L} \mathcal{L}$$

A morphism $X^{x^n} \times \dots \times X^{x^{n_k}} \xrightarrow{t} X^{x^{m_1}} \times \dots \times X^{x^{m_L}}$
in \mathcal{L} represents an L -tuple of terms in
 K metavariables and m_i variables:

$$\langle (x_1, \dots, x_{n_1}) x_1, \dots, (x_1^k, \dots, x_{n_k}^k) x_k, y_1, \dots, y_{m_i}; t \rangle_i$$



parameterised variable Ordinary variable

'Differentiate $f(x)$ with respect to x and evaluate at x_0 '

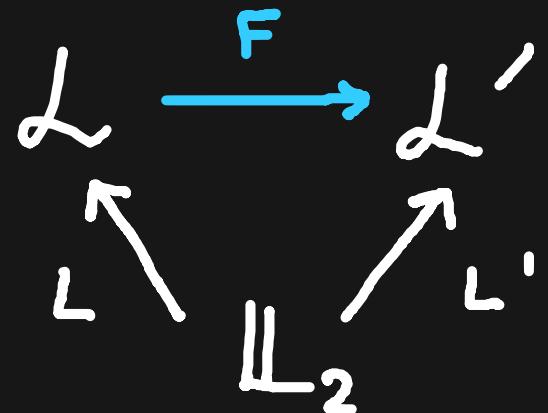
$$\partial(x, f(x), x_0)$$

represented by

$$X^X \times X \xrightarrow{\partial} X$$

Second-order algebraic theories

A map of second-order algebraic theories is a commutative triangle



Second-order algebraic theories and their maps form a category Law_2 .

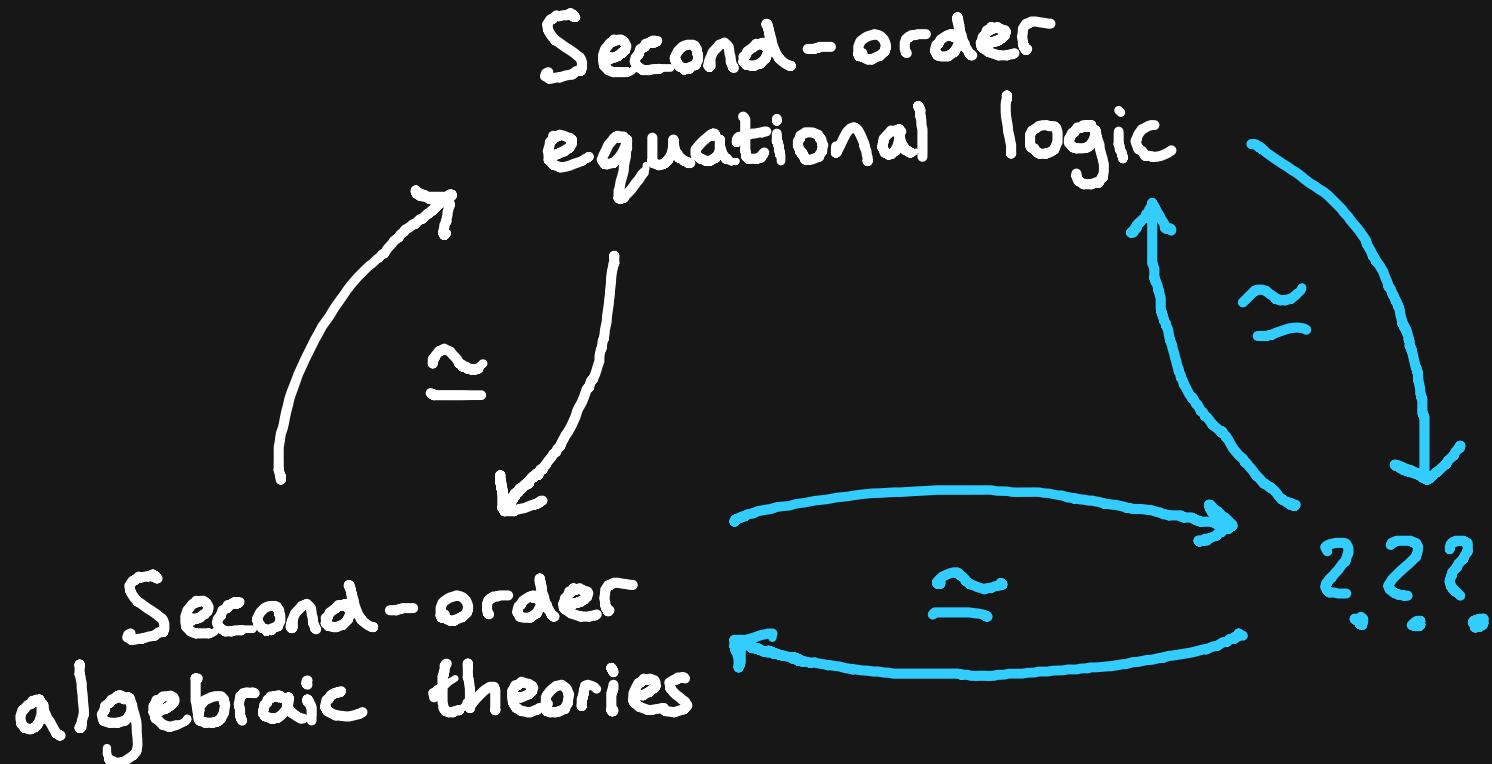
Second-order algebraic theories

How well-behaved is Law_2 ?

Does it have:

- Limits?
- Colimits?
- A universal property?

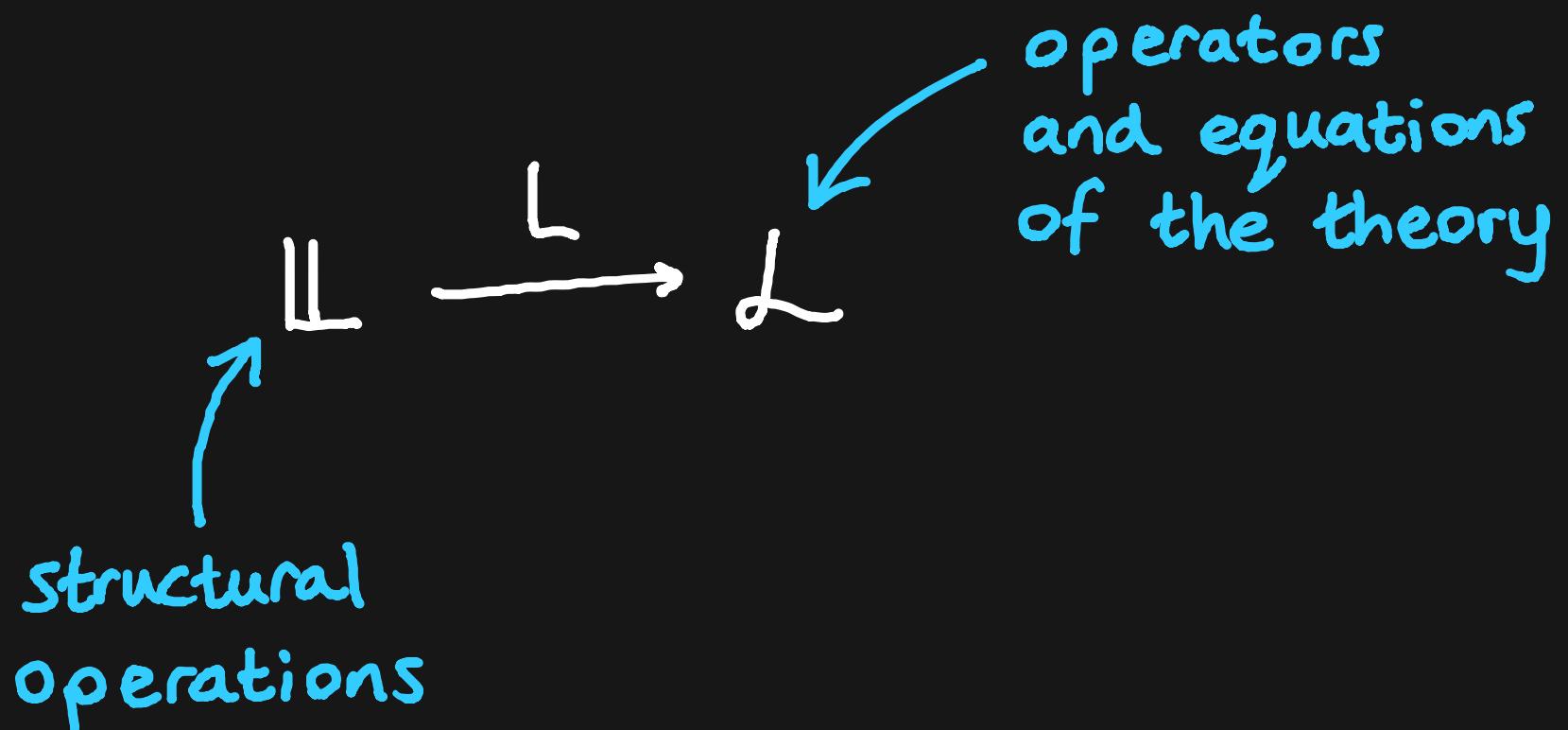
Second-order universal algebra



III . HIGHER-ORDER ALGEBRAIC THEORIES

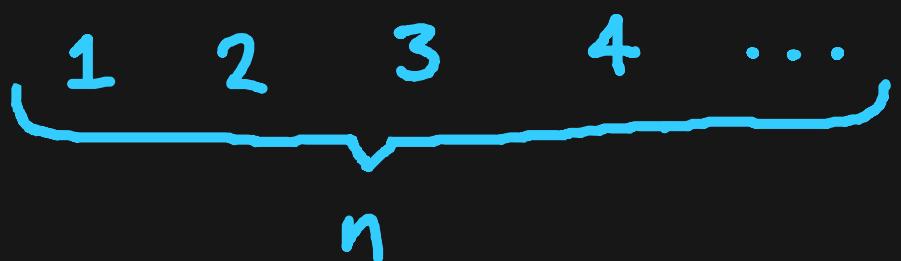
Third-order operators

1. Continuations
2. Selection operators



Higher-order theory of equality

\mathbb{L}_n is the free cartesian category on an n -tetralbe object (i.e. an object X such that $1, X, X^X, X^{X^X}, \dots$ is exponentiable).

A horizontal sequence of numbers: 1, 2, 3, 4, followed by three dots. A blue curly brace is positioned under the first four numbers, with the label "n" centered below it.

Higher-order theory of equality

\mathbb{L}_n is the free cartesian category on an n -tetralbe object (i.e. an object X such that $1, X, X^X, X^{X^X}, \dots$ is exponentiable).

1 2 3 4 ...
n

Intuitively, morphisms in \mathbb{L}_n represent operators taking operators as operands.

Higher-order theory of equality

\mathbb{L}_n is the free cartesian category on an n -tetralbe object (i.e. an object X such that $1, X, X^X, X^{X^X}, \dots$ is exponentiable).

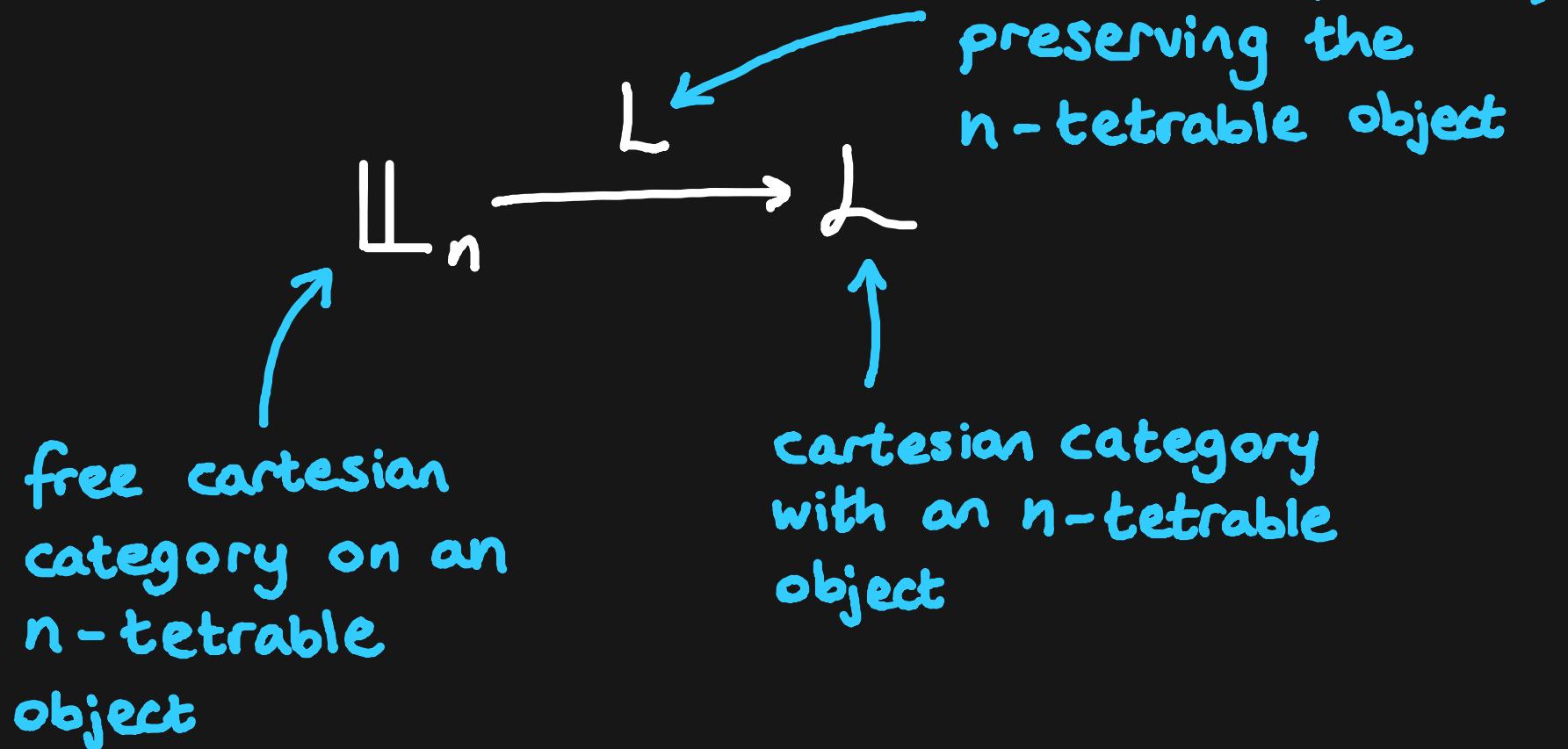
We have:

$$\mathbb{L} = \mathbb{L}_1 \hookrightarrow \mathbb{L}_2 \hookrightarrow \cdots \hookrightarrow \mathbb{L}_\omega$$

↑
free cartesian
category on a point

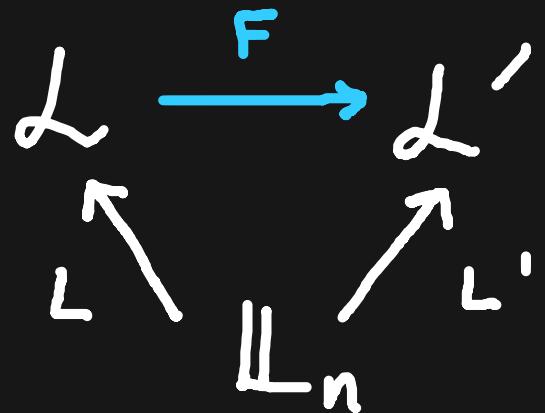
{free cartesian-closed
category on a point

Higher-order algebraic theories



Higher-order algebraic theories

A map of n^{th} -order algebraic theories is a commutative triangle



n^{th} -order algebraic theories and their maps form a category Law_n .

1. How well-behaved is Law^n ?
2. Is there a monad correspondence?

Exponentiable subcategories

A (full) subcategory $\mathcal{C}' \hookrightarrow \mathcal{C}$ is exponentiable

if, for all $X \in \mathcal{C}'$, jX is exponentiable in \mathcal{C} ,

i.e. $jX \times (-) \dashv (-)^{jX}$.

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Ex

$\mathbb{L}_n \hookrightarrow \mathbb{L}_{n+1}$ is exponentiable.

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Denote by Exp_n the category with

$$\begin{array}{ccccc} \mathcal{C}_1 & \hookrightarrow & \dots & \hookrightarrow & \mathcal{C}_n \\ f_1 \downarrow & & \dots & & \downarrow f_n \\ \mathcal{D}_1 & \hookrightarrow & \dots & \hookrightarrow & \mathcal{D}_n \end{array}$$

where f_{i+1} preserves
exponentiation by
objects of \mathcal{C}_i

Prop.

There is an adjunction

$$\text{Exp}_n \begin{array}{c} \xrightarrow{\quad P_{-A} \quad} \\ \perp \\ \xleftarrow{\quad A^{-A} \quad} \end{array} \text{Exp}_{n+1}$$

where

$$P_{\mathcal{C}_1 \hookrightarrow \dots \hookrightarrow \mathcal{C}_n} = \mathcal{C}_1 \hookrightarrow \dots \hookrightarrow \mathcal{C}_n \dashrightarrow \tilde{\mathcal{C}}$$

conservative
cartesian
closure of \mathcal{C}_n

$$A_{\mathcal{C}_1 \hookrightarrow \dots \hookrightarrow \mathcal{C}_{n+1}} = \mathcal{C}_1 \hookrightarrow \dots \hookrightarrow \mathcal{C}_n$$

Prop.

Let $\mathcal{C}_1 \xrightarrow{j} \mathcal{C}_2$ be an object of Exp_2 .

Strict cartesian functors $\tilde{\mathcal{C}}_2 \rightarrow \text{Set}$ are in bijection with
strict cartesian identity-on-objects functors out of \mathcal{C}_2
preserving exponentiation by objects of \mathcal{C}_1 .

$$\text{Cart}(\tilde{\mathcal{C}}_2, \text{Set}) \cong j /_{\text{id}} \text{Exp}_2$$

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$$\text{Cart}(\tilde{\mathcal{C}}_2, \text{Set}) \cong j /_{\text{id}} \text{Exp}_2$$

Sketch

For $f: \tilde{\mathcal{C}}_2 \rightarrow \text{Set}$, $f(y^x)$ defines a hom-set, with
composition induced by evaluation.

Cor

$\mathbb{L}_n \xrightarrow{j} \mathbb{L}_{n+1}$ is an object of Exp_2 , so

strict cartesian functors $\widetilde{\mathbb{L}_{n+1}} \rightarrow \text{Set}$ are in bijection with
strict cartesian identity-on-objects functors out of \mathbb{L}_{n+1}
preserving exponentiation by objects of \mathbb{L}_n .

$$\text{Cart}(\widetilde{\mathbb{L}_{n+1}}, \text{Set}) \cong j /_{\text{id}} \text{Exp}_2 = \text{Law}_{n+1}$$

$\begin{matrix} \swarrow \\ \mathbb{L}_{n+2} \end{matrix} \quad \begin{matrix} \uparrow \\ \mathbb{L}_{n+1} \longrightarrow \perp' \end{matrix} \quad \begin{matrix} \uparrow \\ j \uparrow \end{matrix} \quad \begin{matrix} \uparrow \\ \mathbb{L}_n \longrightarrow \perp \end{matrix} \quad \begin{matrix} (A) \\ (B) \end{matrix}$

The universal property of Law_n

Thm

Law_n is locally strongly finitely presentable.

$$\text{Law}_n \simeq \text{Cart}(\mathbb{L}_{n+1}, \text{Set})$$

sifted cocompletion $\xrightarrow{\quad \simeq \quad} \text{Sind}(\mathbb{L}_{n+1}^\circ)$

free cartesian category on an $(n+1)$ -tetrable point

The universal property of Law_n ($n=1$)

Thm

Law_1 is locally strongly finitely presentable.

$$\text{Law} = \text{Law}_1 \simeq \text{Cart}(\mathbb{L}_2, \text{Set})$$

sifted cocompletion $\simeq \text{Sind}(\mathbb{L}_2^\circ)$

free cartesian category on an exponentiable object

The universal property of Law_n

Thm

Law_n is locally strongly finitely presentable.

$$\text{Law}_n \simeq \text{Cart}(\mathbb{L}_{n+1}, \text{Set})$$

sifted cocompletion $\xrightarrow{\quad} \simeq \text{Sind}(\mathbb{L}_{n+1}^\circ)$ free cartesian category on an $(n+1)$ -tetrable point

Hence also:

- Locally finitely presentable
- Cocomplete
- Complete

The universal property of Law_n

Thm

Law_n is locally strongly finitely presentable.

$$\begin{array}{ccc} \text{Law}_n & \simeq & \text{Cart}(\mathbb{L}_{n+1}, \text{Set}) \\ \text{sifted cocompletion} & \xrightarrow{\quad} & \simeq \text{Sind}(\mathbb{L}_{n+1}^\circ) \\ & & \uparrow \end{array}$$

free cartesian category on an $(n+1)$ -tetralable point

Hence also:

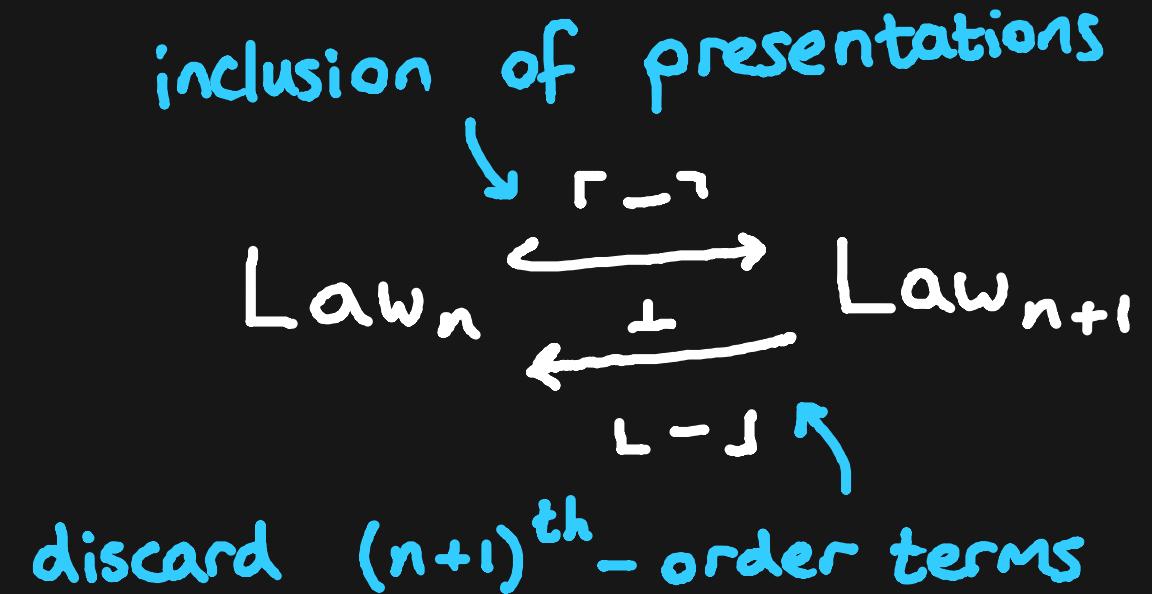
- Locally finitely presentable
- Cocomplete
- Complete

(Cf. Vemuri 2020, 'The universal exponentiable arrow'.)

Coreflections

Thm

There is a coreflection of categories:



Coreflections

Thm

There is a coreflection of categories

$$\text{Sind}(\mathbb{L}_{n+1}) \cong \text{Law}_n \begin{array}{c} \xrightarrow{\Gamma - \gamma} \\ \perp \\ \xleftarrow{L - J} \end{array} \text{Law}_{n+1} \cong \text{Sind}(\mathbb{L}_{n+2})$$

$\mathbb{L}_{n+1} \xrightarrow{j} \mathbb{L}_{n+2}$ induces the algebraic functor $L - J$, which has a left adjoint by abstract nonsense.

Coreflections

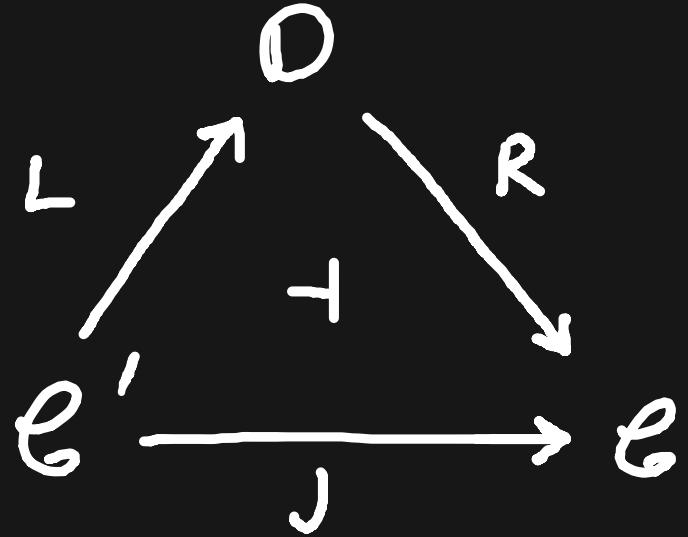
There is a chain of coreflections,

$$\text{Law}_1 \begin{array}{c} \leftrightarrow \\ \perp \end{array} \text{Law}_2 \begin{array}{c} \leftrightarrow \\ \perp \end{array} \cdots \begin{array}{c} \leftrightarrow \\ \perp \end{array} \text{Law}_\omega$$

allowing us to freely extend or restrict the order
of a higher-order algebraic theory.

IV. RELATIVE MONADS

Relative adjunctions



$L \dashv R$ when $D(Lx, y) \cong \mathcal{C}(Jx, Ry)$ natural
in $x \in \mathcal{C}'$ and $y \in D$.

Relative monads

A J -relative monad $(T, \eta, (-)^*)$ consists of

- a function

$$T : |\mathcal{C}'| \rightarrow |\mathcal{C}|$$

- a transformation

$$\eta_x : JX \rightarrow TX$$

- a transformation

$$(-)^*_{x,y} : \mathcal{C}(JX, TY) \rightarrow \mathcal{C}(TX, TY)$$

satisfying unitality and associativity conditions.

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Prop: a monad is precisely an Id -relative monad.

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Prop: a monad is precisely an Id -relative monad.

Prop: every relative adjunction induces a relative monad.

Simple slice category

Let $L: \mathbb{L}_n \rightarrow \mathcal{L}$ be an n^{th} -order algebraic theory.

Define a functor

$$L//(-) : \mathcal{L}^\circ \longrightarrow \mathbf{Law}_n$$

where $\mathcal{L}/\!/X$ is the simple slice category over X :

$$\mathcal{L}/\!/X(A, B) = \mathcal{L}(A \times X, B)$$

Simple slice category

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where $\mathcal{L}/\!/X$ is the simple slice category over X :

$$\mathcal{L}/\!/X(A, B) = \mathcal{L}(A \times X, B)$$

which is the free cartesian category with a morphism
 $1 \rightarrow X$ containing α .

Theories to relative monads

Lem

Let $L: \mathbb{L}_{n+1} \rightarrow \mathcal{D}$ be an $(n+1)^{\text{th}}$ -order algebraic theory.
There is a \mathcal{D} -relative adjunction:

$$\begin{array}{ccc} & \mathcal{D}^{\circ} & \\ L^{\circ} \swarrow & \perp & \searrow L^{\circ} \mathcal{D} // - \\ \mathbb{L}_{n+1}^{\circ} & \xrightarrow{\quad \mathcal{D} \quad} & \text{Sind}(\mathbb{L}_{n+1}^{\circ}) \end{array}$$

Hence L induces a \mathcal{D} -relative monad.

Relative monads to theories

Lem

Let $T: \mathbb{U}_{n+1}^\circ \rightarrow \text{Sind}(\mathbb{U}_{n+1}^\circ)$ be a

\mathfrak{L} -relative monad. There is a \mathfrak{L} -relative adjunction:

$$\begin{array}{ccc} & KI(T) & \\ & \swarrow K \quad \searrow U & \\ \mathbb{U}_{n+1}^\circ & \dashv & \text{Sind}(\mathbb{U}_{n+1}^\circ) \\ & \downarrow \mathfrak{L} & \end{array}$$

Relative monads to theories

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Let $T: \mathbb{U}_{n+1}^\circ \rightarrow \text{Sind}(\mathbb{U}_{n+1}^\circ)$ be a

\mathfrak{L} -relative monad. There is a \mathfrak{L} -relative adjunction:

Kleisli inclusion
preserves
coproducts

$$\begin{array}{ccc} & KI(T) & \\ & \swarrow K \quad \searrow U & \\ \mathbb{U}_{n+1}^\circ & \dashv & \text{Sind}(\mathbb{U}_{n+1}^\circ) \\ & \mathfrak{L} & \end{array}$$

K° is identity-on-objects and cartesian...

Relative monads to theories

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K° is identity-on-objects and cartesian...
but may not preserve exponentials \therefore

Interlude

When does the Kleisli inclusion preserve coexponentials?

Interlude

When does the Kleisli inclusion preserve coexponentials?

$$\begin{aligned} & \text{KI}(\tau)(X, Y + Z) \\ = & \text{Law}_n(X, \tau(Y + Z)) \\ \cong^? & \text{Law}_n(X, Y + \tau Z) \\ \cong & \text{Law}_n(X_Y, \tau Z) \\ = & \text{KI}(\tau)(X_Y, Z) \end{aligned}$$

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Hence we require

$$\tau(Y + Z) \cong Y + \tau Z \quad (Y, Z \in \mathbb{L}_{n-1})$$

Call such relative monads
+ - linear.

Interlude

When does the Kleisli inclusion preserve coexponentials?

$$\begin{aligned} & \text{KI}(\tau)(X, Y + Z) \\ = & \text{Law}_n(X, \tau(Y + Z)) \\ \cong^? & \text{Law}_n(X, Y + \tau Z) \\ \cong & \text{Law}_n(X_Y, \tau Z) \\ = & \text{KI}(\tau)(X_Y, Z) \end{aligned}$$

Hence we require

$$\tau(Y + Z) \cong Y + \tau Z \quad (Y, Z \in \mathbb{L}_{n-1})$$

Call such relative monads
+ - linear.

(+ - linearity is trivial for
 $n=1$.)

Relative monads to theories

Lem

Let $T: \mathbb{U}_{n+1}^\circ \rightarrow \text{Sind}(\mathbb{U}_{n+1}^\circ)$ be a

\mathfrak{L} -relative monad. There is a \mathfrak{L} -relative adjunction:

$$\begin{array}{ccc} & KI(T) & \\ & \swarrow K \quad \searrow U & \\ \mathbb{U}_{n+1}^\circ & \dashv & \text{Sind}(\mathbb{U}_{n+1}^\circ) \\ & \downarrow \mathfrak{L} & \end{array}$$

Relative monads to theories

Lem

Let $T: \mathbb{L}_{n+1}^\circ \rightarrow \text{Sind}(\mathbb{L}_{n+1}^\circ)$ be a $+$ -linear
 \vdash -relative monad. There is a \vdash -relative adjunction:

$$\begin{array}{ccc} & KI(T) & \\ & \swarrow K \quad \searrow U & \\ \mathbb{L}_{n+1}^\circ & \dashv & \text{Sind}(\mathbb{L}_{n+1}^\circ) \\ & \downarrow \vdash & \end{array}$$

Relative monads to theories

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 \vdash -relative monad. There is a \vdash -relative adjunction:

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$K^\circ: \mathbb{L}_{n+1}^\circ \rightarrow KI(T)^\circ$ is an $(n+1)^{\text{th}}$ -order algebraic theory.

Thm

$$\text{Law}_{n+1} \cong \text{RMnd}_{+-\text{lin}}(\mathcal{L}_{n+1}^\circ)$$

+-linear ($\mathcal{L}_{n+1}^\circ \hookrightarrow \text{Law}_n$) -
relative monads

$(n+1)^{\text{th}}$ -order algebraic
theories

Thm

$$\text{Law}_{n+1} \cong \text{RMnd}_{+-\text{lin}}(\mathcal{L}_{n+1}^\circ)$$

($n+1$)th-order algebraic theories

+ - linear ($\mathcal{L}_{n+1}^\circ \hookrightarrow \text{Law}_n$) - relative monads

(But what about ordinary monads?)

Relative monads & monads

Thm

Let \mathcal{C} be a locally strongly finitely presentable category. There is an equivalence of categories

$$RMnd(\mathcal{C}_{\text{sfp}} \hookrightarrow \mathcal{C}) \cong Mnd_{sf}(\mathcal{C})$$

Thm

$$\text{Law}_{n+1} \cong \text{RMnd}_{+-\text{lin}}(\mathcal{L}_{n+1}^\circ)$$

$(n+1)^{\text{th}}$ -order algebraic theories

$$\cong \text{Mnd}_{+-\text{lin}, \text{sf}}(\text{Law}_n)$$

sifted-cocontinuous $+-\text{linear}$ monads on Law_n

Prop.

Let $L : \mathbb{L}_{n+1} \rightarrow \mathcal{L}$ be an $(n+1)^{\text{th}}$ -order algebraic theory.

The corresponding monad is given by

$$T_L(X) = L + [X]$$

Prop.

Let $L : \mathbb{L}_{n+1} \rightarrow \mathcal{L}$ be an $(n+1)^{\text{th}}$ -order algebraic theory.

The corresponding monad is given by

$$T_L(X) = \lfloor L + [X] \rfloor$$

When $n=0$, this says that T_L takes a set of constants, freely adds them to L , then extracts the new constants formed from those in X under the operations of L .

Prop.

Let $L : \mathbb{L}_{n+1} \rightarrow \mathcal{L}$ be an $(n+1)^{\text{th}}$ -order algebraic theory.

The corresponding monad is given by

$$T_L(X) = L + [X]$$

$$T_L(X)(B, C) \cong \int^{A \in \mathbb{L}_{n+1}} \mathcal{L}(A, C^B) \times [X](1, A)$$

Algebras

Let $L: \mathbb{U}_{n+1} \rightarrow \mathbb{L}$ be an $(n+1)^{\text{th}}$ -order algebraic theory,
and let $T_L: \text{Law}_n \rightarrow \text{Law}_n$ be the corresponding monad.

$$T_L\text{-Alg} \simeq \text{Cart}(\mathbb{L}, \text{Set})$$

0th-order algebraic theories

It is well-known that (first-order) algebraic theories correspond to (strongly) finitary monads on Set.

Since $\text{Law}_{n+1} \simeq \text{Mnd}_{\text{+lin}, \text{sf}}(\text{Law}_n)$, and +linearity is trivial when $n < 2$, we are led to conclude that

$$\text{Law}_0 \simeq \text{Set}$$

How may we interpret this syntactically?

0th-order algebraic theories

A 0th-order algebraic theory is a strict, terminal object-preserving identity-on-objects functor

$$\{1 \leftarrow X\} \xrightarrow{\quad} \mathbb{L}_0 \xrightarrow{L} \mathcal{L}$$

for which every morphism in \mathcal{L} is constant (i.e. factors through 1).

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Equivalently, a T-operad for T the terminal monad on Set.

0th-order algebraic theories

0th-order algebraic theories are theories of constants.

Each $L : \mathbf{L}_0 \rightarrow L$ defines a set $L(1, x)$, and vice versa, exhibiting an isomorphism of categories

$$\mathbf{Law}_0 \cong \mathbf{Set}$$

0th-order algebraic theories

Recall that:

$$\text{Law}_n \simeq \text{Sind}(\mathbb{L}_{n+1}^\circ) \quad (n > 0)$$

Substituting $n=0$:

$$\begin{aligned}\text{Law}_0 &\simeq \text{Sind}(\mathbb{L}_1^\circ) \\ &\simeq \text{Sind}(\text{FinSet}) \\ &\simeq \text{Set}\end{aligned}$$

Everything previously discussed remains valid for $n=0$.

Cor.

$$\text{Law}_n \cong \text{Mnd}_{+,\text{lin},\text{sf}}^n(\text{Law}_0)$$

Summary

- Higher-order algebraic theories generalise algebraic theories by (higher-order) variable binding operators.
- There are coreflections $\text{Law}_n \rightleftarrows_{\perp} \text{Law}_{n+1}$.
- $\text{Law}_n \simeq \text{Sind}(\mathbb{L}_{n+1}^\circ)$
- $\text{Law}_{n+1} \simeq \text{Mnd}_{\text{sf},+-\text{lin}}(\text{Law}_n)$
- Sets are 0^{th} -order algebraic theories.